

# DEALING INSTRUCTION FORM



Complete the form clearly and with all the relevant details and email to [dealing@theinvestmentplatform.co.uk](mailto:dealing@theinvestmentplatform.co.uk)

|  |  |   |     |
|--|--|---|-----|
| <b>Investment Platform Account Holders Name(s)</b> |  | <b>Investment Platform Client Account Reference</b> | SAT |
| <b>Financial Adviser's Name &amp; Company</b>      |  | <b>Contact Telephone Number</b>                     |     |
| <b>E-mail address</b>                              |  | <b>Date submitted</b>                               |     |

| Deal No. | Order Type |      | Currency | Amount     |                     | Security Identifier<br>ISIN Reference | Stock Exchange<br>(Complete for equities) | Strike Date<br>(Only for structured notes) | Full Name of Asset including Share Class<br>(Where appropriate include share class) | Currency of Asset |
|----------|------------|------|----------|------------|---------------------|---------------------------------------|---|--|---|-------------------|
|          | Buy        | Sell |          | Cash Value | No. of units/shares |                                       |   |  |   |                   |
| 1        |            |      |          |            |                     |                                       |   |  |   |                   |
| 2        |            |      |          |            |                     |                                       |   |  |   |                   |
| 3        |            |      |          |            |                     |                                       |   |  |   |                   |
| 4        |            |      |          |            |                     |                                       |   |  |   |                   |
| 5        |            |      |          |            |                     |                                       |   |  |   |                   |
| 6        |            |      |          |            |                     |                                       |   |  |   |                   |
| 7        |            |      |          |            |                     |                                       |   |  |   |                   |
| 8        |            |      |          |            |                     |                                       |   |  |   |                   |
| 9        |            |      |          |            |                     |                                       |   |  |   |                   |
| 10       |            |      |          |            |                     |                                       |   |  |   |                   |

Full details of the asset including fund fact sheets and contact details must be provided prior to any deal instruction being accepted. If these details are not provided, the instruction may be rejected or delays may occur. The Investment Platform reserves the right to invest in any particular asset.

The Investment Platform Account holder(s), have had the opportunity to read any relevant offering documents for any fund(s) relating to any dealing instructions, where to do so, and as such, have received, or had sight of information concerning, and accept the levels of risk associated with this type of investment, including the risk that this investment could result in a loss of significant proportion, or all of the sum invested;

The Investment Platform Account holder(s), where necessary, meet the minimum criteria of a class of investor in a fund(s) of this nature; and

The Investment Platform Account holder(s) are aware of the fees payable within this investment (if relevant) and that these fees exist partly to meet promotion and distribution expenses of the fund(s), including commission paid to appointed financial adviser.

The Investment Platform Account holder(s) acknowledge have read the above and understood and agree to the content of this form and the Dealing Guidelines.

|                             |   |  |   |  |
|-----------------------------|---|--|---|--|
| <b>Additional Comments:</b> | <b>Signature of account holder / authorised signatory / trustee 1</b> <small>(circle one)</small> |  | <b>Signature of account holder / authorised signatory / trustee 2</b> <small>(circle one)</small> |  |
|                             |   |  |   |  |
|                             | <b>Date</b>   |  | <b>Date</b>   |  |

**Please Note:** Dealing Instructions must be received by 12 Noon Mauritian Time or otherwise will be dealt the the following day